UNITED STATES PATENT & TRADEMARK OFFICE Washington, D.C. 20231

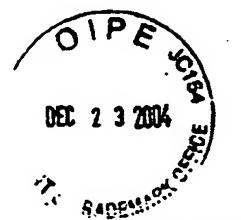
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Docket No.: 20107/1200838-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Earl Rotman et al.

Application No.: 10/709,329

Confirmation No.: 3328

Filed: April 28, 2004

Art Unit: 3628

For: SYSTEM AND METHOD FOR CREATING

TRADEABLE FINANCIAL UNITS

Examiner: N. B. Nguyen

2/28/2004 SFELEKE1 00000010 10709329

1-FC:1999

130.00 OP

PETITION FOR FILING DATE

MS Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The applicant in the above-identified patent application hereby petitions the United States Patent and Trademark Office to grant a filing date of April 28, 2004 to the above-identified patent application. A Notice to File Missing Parts Dated December 13, 2004 indicates that the application had been granted a filing date of July 15, 2004, the date upon which the USPTO received replacement drawings for this patent application. There are two grounds supporting this petition:

- A. Drawings were in fact present in the application as filed;
- B. To the extent that the method is concerned, drawings are not required for a complete description of the invention, and to the extent that apparatus claims are concerned, drawings were incorporated by reference in the provisional patent application of which the priority is claimed.

12/27/2004 SFELEKEI 00000023-10709329

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